

0416

RECEIT 4-001.035-1 #4

IN THE U.S. PATENT AND TRADEMARK OFFICE

Re application of

Jose COSTA REQUENA

Serial No. 09/991,540

Filed: November 14, 2001

For: MOBILE SYSTEM, TERMINAL AND INTERFACE, AS WELL AS

METHODS FOR PROVIDING BACKWARD COMPATIBILITY, etc.

LETTER RE FILING RECEIPT

Assistant Commissioner for Patents U.S. Patent and Trademark Office Washington DC 20231

Sir:

This will acknowledge receipt of an official Filing Receipt in the above-referenced matter, in which applicant claims benefit from U.S. Provisional Application 60/253,890, filed November 29, 2000. The notation "Data inconsistent with PTO records" is puzzling to us, and we enclose a copy of a return postcard stamped by the USPTO with the Serial No. 60/253,890 and the filing date of 11/29/00. Also enclosed is a copy of the U.S. Post Office Express Mail Receipt EL628639775US dated 11/29/00 by the U.S. Postal Clerk receiving the provisional mailing. Also enclosed is a copy of the Official Filing Receipt received by us on March 5, 2001 clearly showing a filing date of 11/29/2000 for the provisional 60/253,890.

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Compresioner for Patents, Washington D.C. 20231.

Marilyn O'Connell

Dated: April 9, 2002

Applicant is therefore clearly entitled to the benefit of the filing date of November 29, 2000 of the provisional application and asks that the USPTO records be corrected if different. It is noted, however, that the enclosed PAIR system printout shows a date of 11/29/2000, which seems to indicate that there is no inconsistency and the indication thereof on the Filing Receipt mailed 2/6/02 is incorrect.

Respectfully submitted,

Francis J. Maguir

Attorney for the Applicant Registration No. 31,391

FJM/mbh
April 5, 2002
WARE, FRESSOLA, VAN DER SLUYS
& ADOLPHSON LLP
755 Main Street, PO Box 224
Monroe CT 06468
(203) 261-1234





COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
WWW.USDIO.GOV

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-------------------|----------|------------|------------|
| 09/991,540 | 11/14/2001 | 2661 | 0.00 | 944- 001 035-1 | 7 | 20 | 4 |

CONFIRMATION NO. 2990

004955 WARE FRESSOLA VAN DER SLUYS & ADOLPHSON, LLP BRADFORD GREEN BUILDING 5 755 MAIN STREET, P O BOX 224 MONROE, CT 06468 FILING RECEIPT

OC000000007431302

Date Mailed: 02/06/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jose Costa Requena, Residence Not Provided;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/253,890 11/29/2000 * (*) Data inconsistent with PTO records.

Foreign Applications

If Required, Foreign Filing License Granted 02/06/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

RECEIVED

WARE, FRESSOLA, VAN DER SLUYS

& ADOLPHSON

Non-Publication Request: No

Early Publication Request: No

FEB 1 2 2002

FILE 944 - 00 | 35 -1 ANS'D. - 1

Title

Mobile system, terminal and interface, as well as methods for providing backward compatibility to first and second generation mobile systems

Preliminary Class

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



| Applicant Jose Costa Requena Mobile System, Terminal and Interest. For: Providing Backward Compatibility Serial No. The following papers were received in the U.S. | iled: Herewith |
|--|---|
| X Transmittal Provisional X Specification - No. of Pages 21 X Claims - No. of Pages 4 X Abstract - No. of Pages 1 Decl. and Power of Atty. Assignment | Amendment and/or ResponseInfo. Disclosure StatementTM or SM Appl No. of Pages Specimens - No. of X Fee:\$150.00 check Enclosed X Drawings - No. of Sheets _7_ |
| Small Entity Statement Express Mail No. | EL628639775US JC929 U.S. PTO 60/253890 11/29/00 |
| Attorney: FJM Date Mailed:11/29/00 Please date stamp and return | Docket No. 944-001.035 |

WARE, FRESCO. CONTROL SEUYS

DEC 11 2889 944-601.35



dan.

| 7661 YUL 7 | -itledeJ | 300 | •• | |
|--|--|--|--|---|
| (dog i | Sustome | | | |
| | | | | |
| | | | | |
| | | | SS | |
| | | | HE | |
| | တ ် | | A.T. | 0.00 |
| S1522453337783 | SEE REVERSE SIDE FOR SERVICE GUARANTEE AND LIMITS ON INSURANCE COVERAGE A MANAGEMENT OF THE STATE OF THE STA | | ig P | ======================================= |
| | | | H O H K | |
| | SEE REVERSE SIDE FOR TICE GUARANTEE AND LINN INSURANCE COVERAGE MACHINE MACH | 8888 | 4 4 | |
| | | | 3167 | |
| | ® II O K | | | www.usps.gov |
| | | | SSI | ည် |
| l eme i ou | | | • ∄ਜ਼ਹ | Sds |
| الأر الإستقار | | | N S O | Ĭ. |
| | | 38 A | NO X | S |
| | Ø Š Z | δ g | N S | 3 |
| | # # | 2 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 | N. N. A. T. A. M. | - 2 |
| | | ₩ 5 E | TO: prise runn BOX PROVISIONAL PATENT APPLICATION ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231 | - |
| | | | ASS WAS | <u>8</u> |
| | | N C C F | Ë | _ ∠ |
| EXPRESS MAIL MED STATES POSTAL SERVICE THE BIT HATE ENVIRONMENT | | 0 0 0 | | 4-001.035 FOR PICKUP OR TRACKING CALL 1-800-222-1811 |
| | 8 | A E SA | | 7 8 |
| | 8 | 3 5€80 0 89 | -0224 | |
| EXPRI MAJIL NWITED STATES POSTAL SER | Series S | | ER SLUYS CT 06468-0224 | ₽¥1 |
| MITED STATES PO | | |) | \G. |
| | | | 3 3 | X. |
| | Setupe Return F | | , o | 3A0 |
| | | | * 5 | |
| | | | 4 5 V | ر 0 |
| 4 | Million 9 million 10 millio | X0&5435 | 2 | 944-001.035 FOR PICKUP 0 |
| | Transmitter Countries | 3 | 1 3 | 는 등 등 등 등 등 등 등 등 등 등 등 등 등 등 등 등 등 등 등 |
| ш | Open of the Control o | \$ | | Ŏ Œ |
| ICE SSEE Day of Delivery | NO ID V DO | 9 | 4 | 44.45 F |
| n iii š | | | <u> </u> | 9. |
| POST OFFICE FIGURESTALUSE ONLY) OFFICE OFFI OFFI OFFI OFFI OFFI OFFI OFFI OFF | Portage Out 1 feet Control Control Control Control Out Control Control | g | MONRUE FRESSOLA, VAN DER SLUYS A ADOL PHSON PO BOX 224 MONRUE CT 06468- | L PRESS HARD. You are making 3 copies. |
| 09 | | ETHOD OF PAYMENT OF COLUMN COMMENT OF COLUMN COLUMN COMMENT OF COLUMN COMMENT OF COLUMN COLU | MONROE | 3 D . |
| H P II TO | 95 [] | T. No. No. | 1000 | 1AF |
| ST O ADD MIPOSTAL EF6? | h b b b b b b b b b b b b b b b b b b b | ETHOD OF PAYMENT: press Mal Corporate Acct deral Agency Acct. No. or retal Service Acct. No. or | \$ XXXX | L PRESS HARD. You are making 3 |
| | ate in me in elight AM elight AM o Delivery | HOD W | S 3×0 ₹ | n ai |
| | 16 | 計画 51 名数 | | - Q ≿ |





NATIONAL PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
WWW.uspto.gov

 APPLICATION NUMBER
 FILING DATE
 GRP ART UNIT
 FIL FEE REC'D
 ATTY.DOCKET.NO
 DRAWINGS
 TOT CLAIMS
 IND CLAIMS

 60/253.890
 11/29/2000
 150
 944-001.035
 7

004955 WARE FRESSOLA VAN DER SLUYS & ADOLPHSON, LLP BRADFORD GREEN BUILDING 5 755 MAIN STREET, P O BOX 224 MONROE, CT 06468 **FILING RECEIPT**

OC00000005800066

Date Mailed: 02/26/2001

Receipt is acknowledged of this provisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jose Costa Requena, Helsinki, FINLAND,

Continuing Data as Claimed by Applicant

Foreign Applications

Title

Mobile system, terminal and interface, as well as methods for providing backward compatibility to first and second generation mobile systems

Preliminary Class

Data entry by: BUTLER, DAVINA Team: OIPE Date: 02/26/2001

RECEIVED WARE, FRESSOLA, VAN DER SLUYS & ADOLPHSON

MAR 5 2001

FILE 944.001.35 ANS'D. KOL WARREN

file://C:\Apps\PreExam\correspondence\1_A.xml



LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CRF 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231



TAND TRADEMARK OFFICE

Business News & Center Notices





PATENT APPLICATION INFORMATION RETRIEVAL

| PAIR |
|---------|
| FAQ |
| Help |
| eedback |

Other Links



| Search re | esults for a | pplication number | er: 60/253,890 |
|-------------------------------|--------------|----------------------------|--|
| Application Filing Date: | 1-29-2000 | Class / Sub-Class: | xxx/xxxxx |
| Issue Date of Patent: | | l a a a tia m . I | FILE REPOSITORY (FRANCONIA) |
| Examiner Name: No | OT, DEFINED | Status: | Application Undergoing Preexam Processing |
| Group Art Unit: - | | Attorney Docket Number: | 944-001.035 |
| Earliest Publication No: | | Patent Number: | • |
| Earliest Publication Date: | | Customer Number: | 4955 |
| Confirmation Number: 84 | 495 | | |

Foreign Priority

Continuity Data

Publication Review

| | | File Contents History |
|--------|------------|-------------------------------|
| Number | Date | Contents Description |
| 3 | 02-24-2001 | Correspondence Address Change |
| 2 | 01-30-2001 | Application Scanned |
| 1 | 11-29-2000 | Initial Exam Team nn |

HOME | INDEX | SEARCH | SYSTEM STATUS | BUSINESS CENTER | NEWS&NOTICES | CONTACT US | PRIVACY STATEMENT







Other Links



| Search results for a | pplication number | er: 60/253,890 |
|--|----------------------------|--|
| Application Filing Date: 11-29-2000 | Class / Sub-Class: | xxx/xxxxx |
| Issue Date of Patent: | l contion: | FILE REPOSITORY (FRANCONIA) |
| Examiner Name: NOT, DEFINED | Status: | Application Undergoing Preexam Processing |
| Group Art Unit: | Attorney Docket Number: | 944-001.035 |
| Earliest Publication No: | Patent Number: | • |
| Earliest Publication Date: | Customer Number: | 4955 |
| Confirmation Number: 8495 | | |

File Contents History

Foreign Priority

Publication Review

| Parent Continuity Data | | | | | |
|----------------------------------|--|-----------------------|---------------|--|--|
| Description | | Parent Filing Date | Parent Status | | |
| No Parent Continuity Data Found. | | | | | |

| | Child Continuity Data | |
|------------|-----------------------------------|--|
| | Child Continuity Data Information | |
| 09/991,540 | | |
| | <u>60/253,890</u> | |

HOME | INDEX | SEARCH | SYSTEM STATUS | BUSINESS CENTER | NEWS&NOTICES | CONTACT US | PRIVACY STATEMENT